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CENTRAL FAX CENTER**FACSIMILE TRANSMITTAL SHEET****AUG 15 2005**Number of pages including this sheet: 25Deliver to: Exmnr. Ronald B. Abelson - UNITED STATES PATENT & TRADEMARK OFFICEFax No.: 571-273-8300From: Kevin G. Shao, Reg. No. 45,095Date: August 15, 2005Operator: David CastroApplication No.: 09/991,031Art Group: 2666Filing Date: November 21, 2001Conf. No: 3622Entitled: POLICY VERIFICATION METHODS AND APPARATUSAttorney Docket No.: 004906.P053

Enclosed are the following documents:

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Amendment/Response (17 pgs) | <input type="checkbox"/> Declaration & P of A (pgs) | <input type="checkbox"/> Reply Brief (pgs) |
| <input type="checkbox"/> Appeal Brief (pgs) (in triplicate) | <input type="checkbox"/> Drawings: # of sheets w/ figs. | <input type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> Utility Application (pgs) | <input type="checkbox"/> Month Petition re Ext. of Time | <input type="checkbox"/> Rsp. to Notice of Missing Parts (pgs) |
| <input type="checkbox"/> 1.53(b) Cont. Application (pgs) | <input type="checkbox"/> IDS & PTO 1449 (pgs) | <input type="checkbox"/> Transmittal Letter (pgs) (in duplicate) |
| <input type="checkbox"/> 1.53(b) Divisional Application (pgs) | <input type="checkbox"/> Issue Fee Transmittal (pgs) | <input checked="" type="checkbox"/> Fee Transmittal Plus Duplicate (6 pp) |
| <input type="checkbox"/> 1.53(b) CIP Application (pgs) | <input type="checkbox"/> Notice of Appeal (pgs) | <input checked="" type="checkbox"/> Deposit Account Authorization |
| <input type="checkbox"/> 1.53(d) RCA Transmittal (pgs) | <input type="checkbox"/> Petition re (pgs) | <input checked="" type="checkbox"/> Other: Replacement Drawings 1&2 |
| <input type="checkbox"/> PCT Application (pgs) | <input type="checkbox"/> Power of Attorney (pgs) | <input type="checkbox"/> Other: |
| <input type="checkbox"/> Provisional Application (pgs) | <input type="checkbox"/> Declaration by Inventor(s) (pgs) | <input type="checkbox"/> Other: |
| <input type="checkbox"/> Assignment & Cover Sheet (pgs) | <input type="checkbox"/> Supplemental Declaration (pgs) | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Certificate of Transmission by Fax | <input type="checkbox"/> Preliminary Amendment (pgs) | <input type="checkbox"/> Other: |



CERTIFICATE OF TRANSMISSIONI, David Castro, hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on: August 15, 2005 by: David Castro

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| AMENDMENT TRANSMITTAL | | PATENT |
|---|--|---------------|
| <div style="display: flex; justify-content: space-between;"><div>Application No.: 09/991,031</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>Filing Date: November 21, 2001</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>First Named Inventor: Aaron S. Mar</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>Examiner's Name: Ronald B. Abelson</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>Art Unit: 2666</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>Confirmation No.: 3622</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div>Attorney Docket No.: 004906.P053</div><div></div></div> | | |
| <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Applicant(s) claim small entity status (37 CFR 1.27).</div><div></div></div> | | |
| ATTACHMENTS | | |
| <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Preliminary Amendment</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Amendment/Response with respect to Office Action</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Notice of Appeal</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> RCE (Request for Continued Examination)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Supplemental Declaration</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Information Disclosure Statement (IDS)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Copies of IDS citations</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Petition for Extension of Time</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Cross-Reference to Related Application(s)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Certified Copy of Priority Document</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Other: Replacement figures 1 and 2.</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Other:</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Check(s)</div><div></div></div> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Fax Transmittal</div><div></div></div> | | |
| SUBMITTED BY: | | |
| BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP | | |
| TYPED OR PRINTED NAME: Kevin G. Shao | | |
| SIGNATURE:  | | |
| REG. NO.: 45,095 | | |
| DATE: August 15, 2005 | | |
| ADDRESS: 12400 Wilshire Boulevard, Seventh Floor | | |
| Los Angeles, California 90025 | | |
| TELEPHONE NO.: (408) 720-8300 | | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on August 15, 2005 | | |
| <div style="display: flex; justify-content: space-between;"><div>David Castro</div><div>Date of Deposit</div></div> | | |
| <div style="display: flex; justify-content: space-between;"><div> Signature</div><div>Name of Person Mailing Correspondence</div><div>August 15, 2005 Date</div></div> | | |
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Send to: COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450

(10/14/03)

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FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 1800.00**Complete if Known:**

Application No. 09/991.031
 Filing Date November 21, 2001
 First Named Inventor Aaron S. Mar
 Examiner Name Ronald B. Abelson
 Confirmation No. 3622
 Art Unit 2666
 Attorney Docket No. 004906.P053

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)
☒ **Deposit Account**Deposit Account Number : 02-2666

Deposit Account Name: _____

☒ **The Director is Authorized to do the following with respect to the above-identified Deposit Account:**

- ☒ Charge fee(s) indicated below.
☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.
☒ Charge fee(s) indicated below except for the filing fee
☒ Credit any overpayments.
☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form.
 Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Large Entity | | Small Entity | | Fee Description | | Fees Paid (\$) |
|---------------------|----------|--------------|----------|------------------------------------|-----------|----------------|
| Code | Fee (\$) | Code | Fee (\$) | | | |
| 1011 | 300 | 2011 | 150 | Utility application filing fee | | |
| 1111 | 500 | 2111 | 250 | Utility search fee | 1,000/500 | |
| 1311 | 200 | 2311 | 100 | Utility examination fee | | |
| 1012 | 200 | 2012 | 100 | Design application filing fee | | |
| 1112 | 100 | 2112 | 50 | Design search fee | 430/215 | |
| 1312 | 130 | 2312 | 65 | Design examination fee | | |
| 1013 | 200 | 2013 | 100 | Plant filing fee | | |
| 1113 | 300 | 2113 | 150 | Plant search fee | 660/330 | |
| 1313 | 160 | 2313 | 80 | Plant examination fee | | |
| 1004 | 300 | 2004 | 150 | Reissue filing fee | | |
| 1114 | 500 | 2114 | 250 | Reissue search fee | 1,400/700 | |
| 1314 | 600 | 2314 | 300 | Reissue examination fee | | |
| 1005 | 200 | 2005 | 100 | Provisional application filing fee | | |
| SUBTOTAL (1) \$0.00 | | | | | | |

09/991.031

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004906.P053

Based on Form PTO-SB/17 (12-04) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 12/13/04

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AUG 15 2005

2. EXCESS CLAIM FEES

| | | Extra Claims | Fee from below | Fees Paid (\$) |
|---|----|----------------|----------------|----------------|
| Total Claims | 32 | - 36 or HP = 0 | X \$50.00 | = 0.00 |
| HP = highest number of total claims paid for, if greater than 20 | | | | |
| Independent Claims | 15 | - 6 or HP = 9 | X \$200.00 | = 1800.00 |
| HP = highest number of independent claims paid for, if greater than 3 | | | | |
| Multiple Dependent Claims | | | | = |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Code | Fee (\$) | Code | Fee (\$) | |
| 1202 | 50 | 2202 | 25 | Each claim over 20 |
| 1201 | 200 | 2201 | 100 | Each independent claim over 3 |
| 1203 | 360 | 2203 | 180 | Multiple dependent claims, if not paid |
| 1204 | 200 | 2204 | 100 | Reissue: each claim over 20 and more than in the original patent |
| 1205 | 50 | 2205 | 25 | Reissue: each independent claim more than in the original patent |

SUBTOTAL (2) \$ 1800.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each add'l 50 or fraction thereof | Fee from below | Fees paid (\$) |
|--------------|--------------|---|---------------------------------------|----------------|
| | - 100 = | / 50 = | (round up to whole number) X \$250.00 | |

| Large Entity | | Small Entity | | Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings): |
|--------------|----------|--------------|----------|---|
| Code | Fee (\$) | Code | Fee (\$) | |
| 1081 | 250 | 2081 | 125 | Utility |
| 1082 | 250 | 2082 | 125 | Design |
| 1083 | 250 | 2083 | 125 | Plant |
| 1084 | 250 | 2084 | 125 | Reissue |

SUBTOTAL (3) \$ 0.00

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FEE CALCULATION (continued)**4. OTHER FEE(S)****Fees Paid (\$)**

Non-English Specification, \$130 fee (no small entity discount)

| Large Entity | | Small Entity | | Fee Description | |
|--------------|----------|--------------|----------|--|--|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter parties reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 120 | 2251 | 60 | Extension for reply within first month | |
| 1252 | 450 | 2252 | 225 | Extension for reply within second month | |
| 1253 | 1,020 | 2253 | 510 | Extension for reply within third month | |
| 1254 | 1,590 | 2254 | 795 | Extension for reply within fourth month | |
| 1255 | 2,160 | 2255 | 1,080 | Extension for reply within fifth month | |
| 1401 | 500 | 2401 | 250 | Notice of Appeal | |
| 1402 | 500 | 2402 | 250 | Filing a brief in support of an appeal | |
| 1403 | 1,000 | 2403 | 500 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 500 | 2452 | 250 | Petition to revive - unavoidable | |
| 1453 | 1,500 | 2453 | 750 | Petition to revive - unintentional | |
| 1501 | 1,400 | 2501 | 700 | Utility issue fee (or reissue) | |
| 1502 | 800 | 2502 | 400 | Design issue fee | |
| 1503 | 1100 | 2503 | 550 | Plant issue fee | |
| 1462 | 400 | 1462 | 400 | Petitions to the Commissioner (CFR 1.17(f) Group I) | |
| 1463 | 200 | 1463 | 200 | Petitions to the Commissioner (CFR 1.17(g) Group II) | |
| 1464 | 130 | 1464 | 130 | Petitions to the Commissioner (CFR 1.17(h) Group III) | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 790 | 2809 | 395 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 1814 | 130 | 2814 | 65 | Statutory Disclaimer | |
| 1810 | 790 | 2810 | 395 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. | |
| 1505 | 300 | 1505 | 300 | Publication fee for republication | |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication | |
| 1808 | 130 | 1808 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | |
| 1454 | 1,370 | 1454 | 1,370 | Acceptance of unintentionally delayed claim for priority | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (4) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Kevin G. ShaoSignature: Date: August 15, 2005Reg. Number: 45,095Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

09/991,031

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